Attorney's Docket No.: 17146-003001

DEC 1 9 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	: Stephen K. Pinto et al.	Art Unit	: 2123
Serial No.	: 10/826,711	Examiner	: Unknown
Filed	: April 16, 2004	Conf. No.	: 2175
Title	: PREDICTIVE MODEL DEVELOPMENT		

MAIL STOP AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Please consider the references listed on the attached PTO-1449 form.

Copies of United States patent documents will be provided upon request. Copies of all non-U.S. patent documents and other documents are enclosed. A copy of a communication from a foreign patent office in a counterpart application is also enclosed.

This statement is being filed within three months of the filing date of the application or before the receipt of a first action on the merits. Please apply any charges or credits to deposit account no. 06-1050, reference 17146-003001.

Respectfully submitted,

Date: _____ 1 2 / 1 0 / 6 _____

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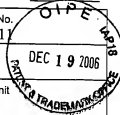Facsimile: (617) 542-8906
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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /S.J./

Sheet 1 of 2

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 17146-003001	Application No. 10/826,711
Information Disclosure Statement by Applicant (Use several sheets if necessary)		Applicant Stephen K. Pinto et al.	
(37 CFR §1.98(b))		Filing Date April 16, 2004	Group Art Unit 2123

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U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	6,430,539	08/06/2002	Lazarus et al.			
	AB	6,879,971	04/12/2005	Keeler et al.			
	AC	6,954,758	10/11/2005	O'Flaherty			
	AD	7,043,461	05/09/2006	Kedher et al.			
	AE	7,047,251	05/16/2006	Reed et al.			
	AF	2005/0096950	05/2005	Caplan et al.			
	AG	2003/0004777	01/2003	Phillips			
	AH	5,621,812	04/1997	Deaton et al.			
	AI	5,592,560	01/1997	Deaton et al.			
	AJ	5,583,763	12/1996	Atcheson et al.			
	AK						

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation Yes	Translation No
	AL	WO 02/19061	07/03/2002	WIPO				
	AM	WO 03/005232	16/01/2003	WIPO				
	AN	PCT/US05/11749	11/2/2006	Copy of PCT ISR				
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AQ	Harrison, H.C., "An Intelligent Business Forecasting System," ACM May 1993, pp. 229-236.
	AR	Haughton et al., "A Review of Software Packages for Data Mining," The American Statistician, November 2003, Vol. 57, No. 5, pp. 290-309.
	AS	Angus, Enterprise Miner 5.1 Digs Into Data, InforWorld, August 9, 2004, Vol. 26, No. 32, pp. 22-23.
	AT	Chapman et al., CRISP-DM 1.0 Step-by-Step Data Mining Guide, August 2000, pp. 27-31, 53-59, 71-79.

Examiner Signature /Scott Jarrett/	Date Considered 09/30/2008

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Disclosure Form (PTO-1449)

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Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AU	Cabena et al., Intelligent Miner for Data Applications Guide, IBM Redbook, SG24-5252-00, March 1999, pp. 9-17, 27-35, 69-77, 87-108, 111-128.
	AV	Schikora et al., Efficacy of End-User Neural Network and Data Mining Software for Predicting Complex System Performance, International Journal of Production Economics, Vol. 84, pp. 231-53, June 2003.
	AW	Bhattacharyya , Evolutionary Algorithms in Data Mining: Multi-Objective Performance Modeling for Direct Marketing, Proceedings of the Sixth ACM SIGKDD International Conference on Knowledge Discovery and Data Mining, pp. 465-73, Aug. 2000.
	AX	Pearce et al., Experimentation and Self Learning in Continuous Database Marketing, IEEE International Conference on Data Mining, pp. 775-8, December 2002.
	AY	Etzion et al., e-CLV: A Modeling Approach for Customer Lifetime Evaluation In e-Commerce Domains, With an Application and Case Study for Online Auctions, IEEE International Conference on e-Technology, e-Commerce and e-Service, pp. 149-56, March 2004.

Examiner Signature /Scott Jarrett/	Date Considered 09/30/2008
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